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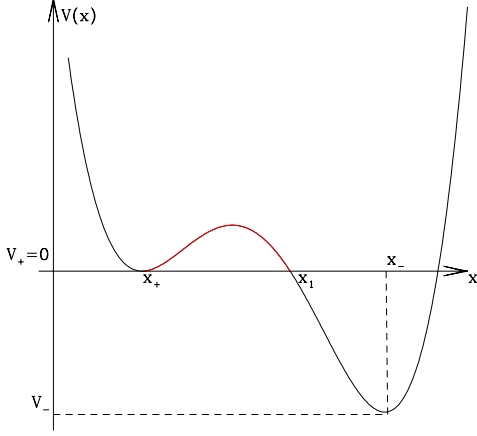


FIG. 2: In the semiclassical regime, a particle with wave function localized around a false vacuum $x = x_+$ can decay to the true vacuum localized around $x = x_-$ by quantum tunneling the barrier $x_+ < x < x_1$.

point particle with wave function localized around the false vacuum $x = x_+$ will decay to the true vacuum localized around $x = x_-$. The tunneling process involves an infinite number of decay paths, labeled by the number of oscillations in the classical allowed region $x_1 < x < x_2$. Different oscillating paths can constructively interfere and, for specific particle wave lengths the double potential barrier becomes completely transparent. This phenomenon is called resonant tunneling, and it has been observed in various experiments [9],[10].

While the amplitude to decay by tunneling through the single barrier in fig. 2 is at leading order in \hbar exponentially suppressed by a instanton action

$$T = \frac{1}{\cosh(S_I)} \sim \exp\left(-\frac{1}{\hbar} \int_{x_+}^{x_1} dx \sqrt{2V(x)}\right), \quad (1)$$

in the double barrier case, if all the oscillating paths in region $x_1 < x < x_2$ have the same phase at $x = x_2$, they create a constructive interference for the wave function entering the second forbidden region $x_2 < x < x_3$. Constructive interference happens when the distance between the two inversion points $x = x_1$ and $x = x_2$ contains an half-integral number of de Broglie wave lengths

$$S_{II} = \frac{1}{\hbar} \int_{x_1}^{x_2} dx \sqrt{-2V(x)} = \left(N + \frac{1}{2}\right) \pi, \quad (2)$$

for integers N . Equation (2) denotes a resonance condition, since in this case the amplitude to decay to $x = x_-$ reaches its maximum modulus

$$|T_{+-}| = \frac{1}{\cosh(S_I - S_{III})}. \quad (3)$$

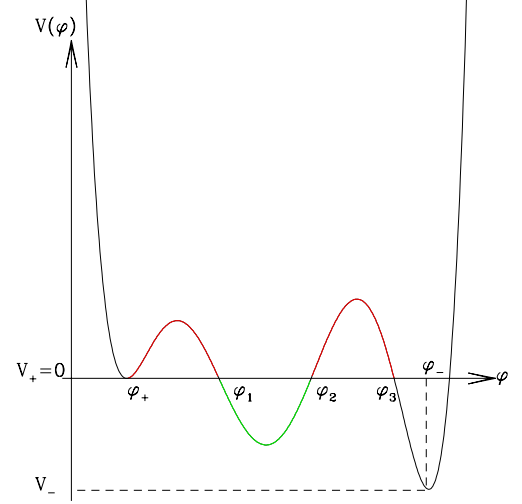


FIG. 3: Double barrier decay in QFT. The field in a false vacuum $\varphi = \varphi_+$ can decay through quantum tunneling toward lower energy density local minima of the effective potential.

S_I and S_{III} are the instanton actions that dominate the amplitude in the two forbidden regions $x_+ < x < x_1$, and $x_2 < x < x_3$,

$$\begin{aligned} S_I &= \frac{1}{\hbar} \int_{x_+}^{x_1} dx \sqrt{2V(x)}, \\ S_{III} &= \frac{1}{\hbar} \int_{x_2}^{x_3} dx \sqrt{2V(x)}. \end{aligned} \quad (4)$$

If $S_I \sim S_{III}$ the decay amplitude (3) gets close to one $|T_{+-}| \lesssim 1$, and thus the metastable state $x = x_+$ becomes very short living. For this to happen it is required that $S_I - S_{III} \sim 0$, and that S_{II} satisfies eq.(2). In the semiclassical regime both S_I and S_{III} are large numbers in \hbar units, thus the condition $S_I - S_{III} \sim 0$ requires a large amount of fine tuning for the shape of the potential $V(x)$.

In quantum field theory the post-tunneling dynamics would begin in a localized space-time region, in a way similar to the one-barrier tunneling field decay discussed in [12],[13]. The field tunnels in a finite space-time region by making a quantum jump from its original value $\varphi = \varphi_+$ to the final vacuum value $\varphi = \varphi_-$, (see figure 3). In the thin wall approximation, new and old vacua are separated by a domain wall, whose positive energy balances exactly the decrease in energy in the limited new vacuum region. The domain wall will finally expand by converting potential energy into wall kinetics energy.

The object that computes the amplitude per unit of space-time volume for the field to tunnel through a clas-

sically forbidden region is the Euclidean path integral

$$I = \int \mathcal{D}\varphi e^{-S_E[\varphi]}. \quad (5)$$

In the semiclassical approximation the dominant contributions to I are Euclidean classical fields (instantons). These Euclidean fields satisfy a global constraint which is the Euclidean version of energy conservation

$$\begin{aligned} & \frac{\partial}{\partial t_E} \int d\vec{x} \left[\frac{1}{2} \left(\frac{\partial \varphi}{\partial t_E} \right)^2 - \sum_{i=1}^d \frac{1}{2} \left(\frac{\partial \varphi}{\partial x_i} \right)^2 - V(\varphi) \right] \\ &= \frac{\partial}{\partial t_E} \int d\vec{x} \left[\frac{1}{2} \left(\frac{\partial \varphi}{\partial t_E} \right)^2 - U[\varphi] \right] \\ &= 0. \end{aligned} \quad (6)$$

In quantum field theory (QFT) in order to have quantum interference a periodic field path φ , solution of the equations of motion with Lorentzian signature, would be required. Resonant tunneling would then follow if its action $S[\varphi]$ satisfied

$$S[\varphi] = \left(N + \frac{1}{2} \right) \pi, \quad (7)$$

for integer N .

This periodic path should be connected via time analytic continuation to Euclidean paths, the latter describing tunneling in classically forbidden regions of the potential. The question is whether in quantum field theory there are oscillating phenomena that parallel the oscillating particle paths in the region $x_1 < x < x_2$ of figure 1.

In the classically allowed regions, where the potential for constant field configuration is less than the metastable vacuum energy density, the generalized potential $U[\varphi]$ of some classical field solution could become negative, (for example this could happen for the potential in fig. 3 if on a sufficiently large space region φ assumes a value in the interval $\varphi_1 < \varphi < \varphi_2$). If this happens one is forced to analytic continue the solution to real time $t_E \rightarrow it_E = t$ at the point \tilde{t}_E where $\partial_{t_E} \varphi(\tilde{t}_E, \vec{x}) = 0$. Analytic continuation in $\tilde{t}_E = \tilde{t}$ requires $\partial_{t_E} \varphi(\tilde{t}_E, \vec{x}) = 0 = \partial_t \varphi(\tilde{t}, \vec{x})$.

In the following we will assume analytic continuation of an instanton $\varphi(t_E, \vec{x})$ to Lorentzian time and then back to Euclidean time

$$U[\varphi](t_E^1) = U[\varphi](t_E^2) = 0, \quad U[\varphi](t_E) < 0, \quad t_E^1 < t_E < t_E^2.$$

The Lorentzian continuation $\varphi(t, \vec{x})$ is defined on the interval $[t_1, t_2]$, where $t_1 = t_E^1$ and $t_2 = t_E^2$.

Together with this solution there are equally dominant contributions from paths in which the Euclidean instanton is continued to a Lorentzian classical field $\varphi_n(t, \vec{x})$, which is a periodic extension of φ for a time period $(2n+1)(t_2 - t_1)$, for integer n . $\varphi_n(t, \vec{x})$ would

contribute to the path integral with action $S[\varphi_n] = S[\varphi]_{(2n+1)(t_1, t_2)} = (2n+1)S[\varphi]_{(t_1, t_2)}$, where

$$S[\varphi]_{(t_1, t_2)} = \int_{t_1}^{t_2} dt \int d\vec{x} \left[\frac{1}{2} \left(\frac{\partial \varphi}{\partial t} \right)^2 - \sum_{i=1}^d \frac{1}{2} \left(\frac{\partial \varphi}{\partial x_i} \right)^2 - V(\varphi) \right], \quad (8)$$

thus creating quantum interference in the path-integral.

A necessary condition for quantum interference in a multi-vacua potential to affect field decay is therefore the existence of a finite action classical Lorentzian solution φ defined on a time interval $[t_1, t_2]$. φ has vanishing time derivative $\partial_t \varphi(t_1, \vec{x}) = \partial_t \varphi(t_2, \vec{x}) = 0$ in order to be analytically continued to instanton field solution. In the following, we will check whether φ has non-vanishing action. We consider resonant decay in scalar fields theories, already discussed in [4], and the same issue in gauge theories of scalar fields, which is the focus of this paper.

III. VACUUM DECAY IN A LANDSCAPE POTENTIAL AND THE QUESTION OF QUANTUM INTERFERENCE

As discussed at the end of the previous section, in order to have quantum interference in vacuum decay the existence of a Lorentzian solution $\varphi(t, \vec{x})$ defined on a time interval $[t_1, t_2]$ with non-vanishing action satisfying eq. (7) is required. Analytic continuation of φ to Euclidean signature in $t = t_1 = t_2$ requires $\partial_t \varphi(t_1, \vec{x}) = \partial_t \varphi(t_2, \vec{x}) = 0$. Moreover φ must respect the total energy constraint. Since we are studying the decay of the false vacuum, φ has to approach at spacial infinity the original metastable vacuum φ_+

$$\lim_{|\vec{x}| \rightarrow \infty} \varphi(t, \vec{x}) = \varphi_+, \quad (9)$$

and therefore

$$\lim_{|\vec{x}| \rightarrow \infty} \partial_\mu \varphi(t, \vec{x}) = 0. \quad (10)$$

A. No resonant tunneling in a scalar field theory

Let us consider a scalar field theory in $D = 1 + d$ space-time dimensions with Lagrangian

$$\mathcal{L} = \frac{1}{2} \partial_\mu \varphi \partial^\mu \varphi - V(\varphi), \quad (11)$$

where $V(\varphi)$ is a generic potential with various metastable vacua.

A necessary condition for the field φ to have non-vanishing action in the interval $[t_1, t_2]$ is the strict positivity of the following functional

$$\mathcal{O}_L[\varphi] = \int_{t_1}^{t_2} dx_0 \int d\vec{x} \left[(\partial_0 \varphi)^2 + \frac{1}{d} \sum_{i=1}^d (\partial_i \varphi)^2 \right]. \quad (12)$$

In fact, if $\mathcal{O}_L[\varphi] = 0$, being an integral of a sum of positive terms, the derivatives of the field vanish identically and $S[\varphi] = S[\varphi_+] = 0$.

By using

$$\partial_\mu \varphi \partial_\nu \varphi = T_{\mu\nu} + \eta_{\mu\nu} \mathcal{L} \quad (13)$$

$\mathcal{O}_L[\varphi]$ can be written in terms of the following combinations of diagonal components of the energy-momentum tensor $T_{\mu\nu}$

$$\mathcal{O}_L[\varphi] = \int_{t_1}^{t_2} dx_0 \int d\vec{x} \left[T_{00} + \frac{1}{d} \sum_{i=1}^d T_{ii} \right]. \quad (14)$$

Energy-momentum conservation $\partial^\mu T_{\mu i} = 0$ for the i -spacial component gives

$$\begin{aligned} \partial_i T_{ii} &= \sum_{j \neq i} \partial_j T_{ji} - \partial_0 T_{0i} \\ &= \sum_{j \neq i} \partial_j (\partial_j \varphi \partial_i \varphi) - \partial_0 (\partial_0 \varphi \partial_i \varphi). \end{aligned} \quad (15)$$

This implies that

$$\partial_i \int_{t_1}^{t_2} dx_0 \int \prod_{j \neq i} dx_j T_{ii} = \partial_i I_{ii} = 0, \quad (16)$$

the T_{ii} density integrated over the space-time domain orthogonal to the x_i -direction and containing the time interval (t_1, t_2) is constant along x_i . Therefore I_{ii} can be computed at $x_i = \infty$, where it depends only on $V(\varphi_+)$, since all the field derivatives go to zero at infinity

$$\begin{aligned} I_{ii} &= \lim_{x_i \rightarrow \infty} \int_{t_1}^{t_2} dx_0 \int \prod_{j \neq i} dx_j \cdot \\ &\quad \left(\frac{1}{2} (\partial_0 \varphi)^2 + \frac{1}{2} (\partial_i \varphi)^2 - \frac{1}{2} \sum_{i=1}^d (\partial_i \varphi)^2 - V(\varphi) \right) \\ &= - \int_{t_1}^{t_2} dx_0 \int \prod_{j \neq i} dx_j V(\varphi_+). \end{aligned} \quad (17)$$

On the other hand, $\partial^\mu T_{\mu 0} = 0$ gives

$$\partial_0 T_{00} = \sum_{i=1}^d \partial_i T_{i0} = \sum_{i=1}^d \partial_i (\partial_i \varphi \partial_0 \varphi), \quad (18)$$

that integrated all over the space gives energy conservation

$$\partial_0 I_{00} = \partial_0 \int d\vec{x} T_{00} = 0. \quad (19)$$

The energy equals the energy of our initial state at t_1 . This being the final configuration of the Euclidean tunneling, its Euclidean energy is the same as the Euclidean energy of the original decaying state. Since both configurations have zero time derivatives, there is no distinction between Euclidean and Lorentzian energy. Assuming a homogeneous original false vacuum $\varphi = \varphi_+$,

$$I_{00} = \int d\vec{x} V(\varphi_+). \quad (20)$$

By using eq.(17) and eq.(20) in the field functional $\mathcal{O}_L[\varphi]$ (12) one obtains

$$\begin{aligned} \mathcal{O}_L[\varphi] &= \int_{t_1}^{t_2} dx_0 I_{00} + \frac{1}{d} \sum_{i=1}^d \int dx_i I_{ii} \\ &= \int_{t_1}^{t_2} dx_0 \int d\vec{x} \left[V(\varphi_+) - \frac{1}{d} \sum_{i=1}^d V(\varphi_+) \right] \\ &= 0. \end{aligned} \quad (21)$$

which shows that $\partial_0 \varphi = |\vec{\nabla} \varphi| = 0$ in the time interval $[t_1, t_2]$, thus implying that the field φ has zero action in the time interval $[t_1, t_2]$. Moreover, since the field is constant in $[t_1, t_2]$ it must be equal to the false vacuum $\varphi = \varphi_+$. This shows that an intermediate analytic continuation to Lorentzian signature is impossible, and the full tunneling event is described by instanton fields in Euclidean signature. Thus one concludes that for the decaying of a metastable vacuum in a pure scalar field theory with a multi-vacua potential there is no quantum interference in the semiclassical approximation. This proves the no go theorem for resonant tunneling in a pure scalar field theory in a generic number of space-time dimensions D . Generalization of this result to an arbitrary number of interacting scalar fields is straightforward, since also in this case one can compute each of the terms in the space-like diagonal components of the energy-momentum tensor appearing in (14) by going at spacial infinity, where they all equals minus the energy of the metastable vacuum.

Recently, [7] have shown that special non-homogenous field configurations with finite energy with respect to a metastable vacuum do exhibit resonant decay. These states circumvent the no-go theorem of [4] in allowing a non-vanishing r.h.s. for eq.(20), which makes (21) strictly positive, thus allowing for oscillating in time field solutions.

It is also interesting to observe that instantons describing motion in forbidden regions are non constant fields connected by analytic continuation to two real time solutions, the field before and after tunneling, at point with vanishing Euclidean time derivative. Instantons are therefore the Euclidean version of the oscillating field forbidden by the no-go theorem. The no-go theorem must fail in Euclidean signature, and it does. The Euclidean

version of eq.(12) is

$$\mathcal{O}_E[\varphi] = \int_{t_E^1}^{t_E^2} dx_0 \int d\vec{x} \left[(\partial_0 \varphi)^2 + \sum_{i=1}^d (\partial_i \varphi)^2 \right]. \quad (22)$$

This functional can be written in terms of the Euclidean stress tensor $T_{\mu\nu}^E$ by summing over the diagonal components of

$$\partial_\mu \varphi \partial_\nu \varphi = T_{\mu\nu}^E + \delta_{\mu\nu} \mathcal{L}_E, \quad (23)$$

where the Euclidean Lagrangian is

$$\mathcal{L}_E = \frac{1}{2} \partial_\mu \varphi \partial_\mu \varphi + V(\varphi). \quad (24)$$

By using eq.(23) and the Euclidean conservation law $\partial_\mu T_{\mu\nu}^E = 0$, one can rewrite (22) as

$$\mathcal{O}_E[\varphi] = -2 \frac{D}{D-2} \int_{t_E^1}^{t_E^2} dt_E \int d\vec{x} V(\varphi(t_E, \vec{x})). \quad (25)$$

The above equation makes sense only when the integral in the r.h.s. is non-negative. This is achieved when there is a large enough region of Euclidean space where the field acquires values in classically allowed regions where $V(\varphi) < 0$, such as the regions $\varphi_1 < \varphi < \varphi_2$ and $\varphi > \varphi_3$ for the potential in figure (3).

B. No quantum interference in the decay of a scalar field coupled to a gauge field

We study now whether a theory of a scalar field coupled to a gauge field admits non-constant classical field solutions of Lorentzian time signature that can be analytically continued to Euclidean signature in two separate occasions.

We consider the Lagrangian

$$\mathcal{L} = -\frac{1}{4} F_{\mu\nu}^a F^{a\mu\nu} + \frac{1}{2} D_\mu \varphi (D^\mu \varphi)^\dagger - V(\varphi). \quad (26)$$

In the semiclassical approximation the Euclidean path integral is dominated by Euclidean classical fields. These fields satisfy the global constraint

$$\begin{aligned} & \partial_0 \int d\vec{x} \left[\frac{1}{2} \sum_{i=1}^d (F_{0i}^a)^2 + \frac{1}{2} D_0 \varphi (D_0 \varphi)^\dagger \right. \\ & \left. - \frac{1}{4} \sum_{i,j=1}^d (F_{ij}^a)^2 - \sum_{i=1}^d D_i \varphi (D_i \varphi)^\dagger - V(\varphi) \right] = 0, \end{aligned}$$

which is an Euclidean version of energy conservation. We choose the original metastable state energy equal to zero

$V(\varphi_+) = 0$ so that the previous equation gives

$$\begin{aligned} & \int d\vec{x} \left[\frac{1}{2} \sum_{i=1}^d (F_{0i}^a)^2 + \frac{1}{2} D_0 \varphi (D_0 \varphi)^\dagger \right] \\ & = \int d\vec{x} \left[\frac{1}{4} \sum_{i,j=1}^d (F_{ij}^a)^2 + \sum_{i=1}^d D_i \varphi (D_i \varphi)^\dagger + V(\varphi) \right] \\ & = : U[\varphi, A_\mu^a]. \end{aligned} \quad (27)$$

Given the Euclidean fields $\varphi(t_E, \vec{x})$, $A_\mu^a(t_E, \vec{x})$, (27) is an equation for t_E . For values of the Euclidean fields in regions where $V(\varphi) < 0$, the r.h.s of eq.(27) might become negative $U[\varphi, A_\mu^a] < 0$. We assume that this is the case on an interval $t_E^1 < t_E < t_E^2$, and check the possibility of a analytic continuation of the fields $\varphi(t_E, \vec{x})$ and $A_\mu^a(t_E, \vec{x})$ to Lorentzian signature $t_E \rightarrow it_E = t$ at $t_E^1 = t_1$ and back to Euclidean signature $t \rightarrow -it = t_E$ at $t_E^2 = t_2$. The Lorentzian classical solutions $\varphi(t, \vec{x})$ and $A_\mu^a(t, \vec{x})$ are then defined on the interval $[t_1, t_2]$, they dominate the path integral and have both vanishing time derivative at $t = t_1$ and $t = t_2$, as required by analytic continuation. In order to check if there is a degeneracy of Lorentzian motions that can produce interference in the path integral we will check if $\varphi(t, \vec{x})$ and $A_\mu^a(t, \vec{x})$ have a non vanishing action in the interval $[t_1, t_2]$.

To this aim we again use the energy-momentum tensor

$$T_{\mu\nu} = F_{\mu}^{\rho a} F_{\rho\nu}^a + D_\mu \varphi (D_\nu \varphi)^\dagger - \eta_{\mu\nu} \mathcal{L}, \quad (28)$$

and consider the following sum over the diagonal components of $T_{\mu\nu}$

$$\begin{aligned} \mathcal{O}_L[\varphi, A_\mu^a] &= \\ & \int_{t_1}^{t_2} dx_0 \int d\vec{x} \left[D_0 \varphi (D_0 \varphi)^\dagger + \frac{1}{d} \sum_{i=1}^d D_i \varphi (D_i \varphi)^\dagger \right] \\ & + \int_{t_1}^{t_2} dx_0 \int d\vec{x} \left[\frac{d-1}{d} \sum_{i=1}^d (F_{0i}^a)^2 + \frac{1}{d} \sum_{i,j=1}^d (F_{ij}^a)^2 \right] \\ & = \int_{t_1}^{t_2} dx_0 \int d\vec{x} \left[T_{00} + \frac{1}{d} \sum_{i=1}^d T_{ii} \right]. \end{aligned} \quad (29)$$

$\mathcal{O}_L[\varphi, A_\mu^a] \geq 0$ is a semi-definite positive functional, and a field solution $(\tilde{\varphi}, \tilde{A}_\mu^a)$ with a non-vanishing action gives $\mathcal{O}_L[\tilde{\varphi}, \tilde{A}_\mu^a] > 0$. We use the component equations of $\partial^\mu T_{\mu\nu} = 0$ integrated over space-time subregions, in order to show that indeed for every classical solution $\mathcal{O}_L[\varphi, A_\mu^a] = 0$. This implies that every solution defined on a finite time interval $[t_1, t_2]$ which can be analytically continued to Euclidean signature has to be a constant field configuration equal to the false vacuum. The conclusion will be that field paths describing the full tunneling event are purely Euclidean and therefore there is no resonant decay.

The overall T_{00} space integral is set to be equal to the total false vacuum energy $V(\varphi_+) = 0$ that is our initial condition in time, therefore the space integral of T_{00} vanishes in the r.h.s of (29).

On the other hand, the component equation $\partial^\mu T_{\mu i} = 0$ gives

$$\begin{aligned}\partial_i T_{ii} &= \partial_0 T_{0i} - \sum_{j \neq i} \partial_j T_{ji} \\ &= \partial_0 (F_0^{\rho a} F_{\rho i}^a + D_0 \varphi (D_i \varphi)^\dagger) \\ &\quad - \sum_{j \neq i} \partial_j (F_i^{\rho a} F_{\rho j}^a + D_i \varphi (D_j \varphi)^\dagger).\end{aligned}\quad (30)$$

By integrating the previous equation over a space-time volume orthogonal to the x_i direction one gets

$$\begin{aligned}\partial_i \int_{t_1}^{t_2} dx_0 \int \prod_{j \neq i} dx_j T_{ii} &= \\ \int \prod_{j \neq i} dx_j \int_{t_1}^{t_2} dx_0 \partial_0 \left(- \sum_{j \neq i} F_{0j}^a F_{ji}^a + D_0 \varphi (D_i \varphi)^\dagger \right) \\ - \int_{t_1}^{t_2} dx_0 \int \prod_{j \neq i} dx_j \sum_{j \neq i} \partial_j (F_i^{\rho a} F_{\rho j}^a + D_i \varphi (D_j \varphi)^\dagger) \\ &= 0.\end{aligned}\quad (31)$$

The previous equation states that the above integral is constant along x_i , therefore it is equal to its value for $x_i \rightarrow \infty$, where it is easier to see that it vanishes, since all the field derivatives vanish at spacial infinity, and the potential goes to the false vacuum $V(\varphi_+) = 0$.

We have shown that the r.h.s of eq. (29) vanishes, from the same equation one can read that the two Lorentzian continuations $\varphi(t, \vec{x})$, $A_\mu^a(t, \vec{x})$ have a vanishing action (26) in the interval $[t_1, t_2]$. We conclude that there is no quantum interference in vacuum decay in a theory of a scalar field coupled to a gauge field. Therefore even in the presence of a gauge field a metastable vacuum cannot decay in a resonant fashion. Generalization of the above result to a gauge theory of an arbitrary number of interacting scalar fields is straightforward, since all the steps of the proof we gave go through also in this case.

IV. CONCLUSIONS

We studied whether in a theory of a scalar field coupled to a gauge field a homogeneous metastable vacuum can decay in a resonant fashion. The answer turns out to be negative and thus our result generalizes the no go theorem for resonant tunneling in a pure scalar field theory [4]. The proof follows the line of [4], appropriately generalized to arbitrary space-time dimensions and to the presence of a gauge field. Gauge interaction have exhibited in the past novel non-perturbative phenomena such as the existence of solitons in $D > 2$, forbidden for a

pure scalar field theory by Derrick theorem [11]. Yet, in the present case they did not come to rescue, and resonant tunneling from a homogeneous false vacuum and its interesting implications for the dynamics of the landscape mentioned in the introduction are also forbidden for theories of scalar fields coupled to gauge fields.

The authors of the no go theorem for resonant tunneling in a pure scalar field theory [4] have recently proposed a way to circumvent their own no-go theorem [7]. They consider the decay of inhomogeneous excited states of a metastable vacuum, rather than the decay of the false vacuum itself. These states represent quite ad hoc non-homogeneous initial configurations of the scalar field, and whether they can emerge as the outcome of a previous tunneling event in the landscape it remains to be explained. Besides the need to supply a natural mechanism that makes the bubbles of [7] to contract, one should also estimate the occurrence of such events in the landscape. This would be an essential ingredient in order to determine whether the proposal of [7] represents an actual possibility for rapid vacuum decay. As stressed in [7], the present no go theorem does not apply to non homogeneous initial states. Notice that if indeed non homogeneous initial states can have resonant tunneling, solitonic solutions present in gauge theories may naturally supply such initial states as ground states in given topological sectors.

V. APPENDIX: WAVE MECHANICS METHODS FOR TUNNELING AMPLITUDES THROUGH MULTIPLE BARRIERS

A. Tunneling through one barrier potential

Let us consider the tunneling problem represented in figure 4, the semiclassical wave function for a particle of unit mass with energy E in region I is given by

$$\Psi_I(x) = \alpha_I^R \frac{e^{iS_0(x)/\hbar}}{(2(E - V(x)))^{1/4}} + \alpha_I^L \frac{e^{-iS_0(x)/\hbar}}{(2(E - V(x)))^{1/4}}, \quad (32)$$

where

$$S_0(x) = \int_{x_+}^x dx \sqrt{2(E - V(x))} \quad (33)$$

is the reduced action.

In order to compute the tunneling probability one has to compare the semiclassical wave functions in region I with that one in region III, beyond the barrier. Semiclassical approximation breaks down near the classical turning point $x = x_+$, and in fact (32) is divergent there. The two expressions for the semiclassical wave functions outside the barrier (region I) and inside the barrier (region II), can be obtained by solving the Schroedinger equation near $x = x_+$, with the linearized potential

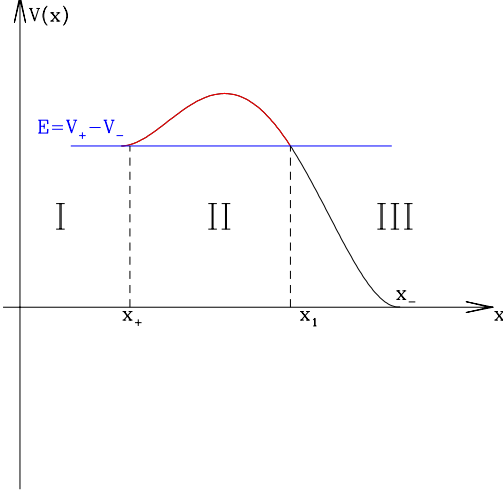


FIG. 4: A particle in region I with energy $E = V_+ - V_-$ has a non vanishing probability to tunnel to region III through the classically forbidden potential barrier of region II

$2(E - V(x)) \sim 2F_0(x - x_+)$. Semiclassical approximation is valid on the region

$$|x - x_+| \gg \left(\frac{\hbar^2}{2F_0}\right)^{1/3}, \quad (34)$$

(see for example [14]), therefore by comparing the two asymptotic expansion of the solution on the left and on the right of the turning point $x = x_+$ one can obtain a relation between the semiclassical wave functions in the two regions, called connection formula.

It is amusing to see how through a simple method one can recover the same connection formulae without the knowledge of the solution of the Schroedinger equation for the linearized potential near the inversion point. Starting with the semiclassical wave function (32) in the allowed region I, one can obtain the correct expression for the semiclassical wave function beyond the turning point in region II by circumventing $x = x_+$ in the complex plane along a semicircular path centered in $x = x_+$: $x - x_+ \rightarrow e^{i\varphi}(x - x_+)$. There are two ways to circumvent the turning point, a clockwise path $\varphi = -\pi$ and the anti-clockwise $\varphi = \pi$ one, each way producing a different wave function inside the barrier. It turns out that the correct wave function inside the barrier is given by summing the two contributions:

$$\begin{aligned} \Psi_{II}(x) = & e^{-\Theta} \left(\alpha_I^R e^{-i\pi/4} + \alpha_I^L e^{i\pi/4} \right) \frac{e^{-S'_0(x)/\hbar}}{(2(V(x) - E))^{1/4}} \\ & + e^{\Theta} \left(\alpha_I^R e^{i\pi/4} + \alpha_I^L e^{-i\pi/4} \right) \frac{e^{S'_0(x)/\hbar}}{(2(V(x) - E))^{1/4}}, \end{aligned} \quad (35)$$

where

$$S'_0(x) = \int_{x_1}^x dx \sqrt{2(V(x) - E)}, \quad (36)$$

and

$$\Theta = \frac{1}{\hbar} \int_{x_+}^{x_1} dx \sqrt{2(V(x) - E)}.$$

By using Ψ^{II} given by eq. (35), one can reach region III by circumventing on the complex plane the turning point $x = x_2$ with two semicircular paths centered in $x = x_2$. The wave function in region III $x > x_2$ outside the barrier is then given by

$$\Psi_{III}(x) = \alpha_{III}^R \frac{e^{iS''_0(x)/\hbar}}{(2(E - V(x)))^{1/4}} + \alpha_{III}^L \frac{e^{-iS''_0(x)/\hbar}}{(2(E - V(x)))^{1/4}}, \quad (37)$$

with

$$S''_0(x) = \frac{1}{\hbar} \int_{x_1}^x dx \sqrt{2(E - V(x))}. \quad (38)$$

The relation between the wave function coefficients in region I and III can be cast in the following nice formula

$$\begin{aligned} \begin{pmatrix} \alpha_{III}^R \\ \alpha_{III}^L \end{pmatrix} &= \begin{pmatrix} \cosh \Theta & -i \sinh \Theta \\ i \sinh \Theta & \cosh \Theta \end{pmatrix} \begin{pmatrix} \alpha_I^R \\ \alpha_I^L \end{pmatrix} \\ &= \mathcal{R}_\Theta \cdot \begin{pmatrix} \alpha_I^R \\ \alpha_I^L \end{pmatrix}, \end{aligned} \quad (39)$$

which shows that the wave function which enters from region I into the forbidden region II, it reappears in region III as "rotated" by the matrix \mathcal{R}_Θ

$$\mathcal{R}_\Theta = \begin{pmatrix} \cosh \Theta & -i \sinh \Theta \\ i \sinh \Theta & \cosh \Theta \end{pmatrix}. \quad (40)$$

The factor i in the above "rotation" matrix ensures that its determinant is one, a necessary condition to preserve the wave function norm.

The probability for transmission through a single barrier is given by

$$|T|^2 = \left| \frac{\alpha_{III}^R}{\alpha_I^R} \right|^2 = \frac{1}{(\cosh \Theta)^2}, \quad (41)$$

and in the semiclassical approximation that we are considering $\Theta \gg 1$

$$\begin{aligned} |T|^2 &= \frac{1}{(\cosh \Theta)^2} \sim 4e^{-2\Theta} \\ &= 4 \exp \left(-\frac{2}{\hbar} \int_{x_1}^{x_2} dx \sqrt{2(V(x) - E)} \right). \end{aligned} \quad (42)$$

This last formula reproduces the tunneling probability given in eq. (1).

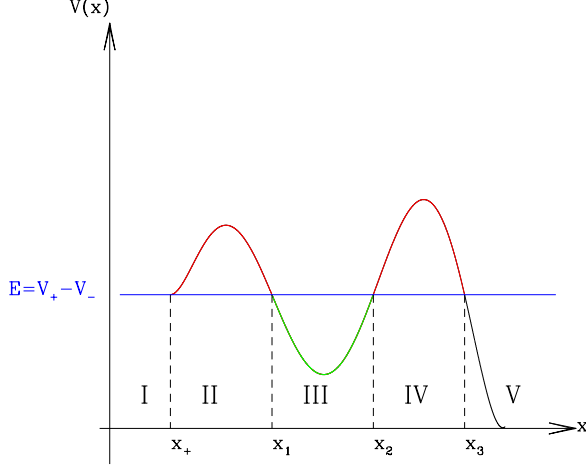


FIG. 5: Double barrier potential: Due to quantum interference there is a finite spectrum of energies such that the probability to tunnel through the double barrier is higher than the probability to tunnel through a single barrier. This is due to the presence of the intermediate classically allowed region III, where the various oscillating paths between the classical turning points $x = x_1$, $x = x_2$ can quantum interfere in a constructive way, giving rise to resonant tunneling.

B. Tunneling through a double barrier potential

For a double barrier tunneling (fig. 5) one can compute the full "rotation" matrix which rotates the wave function of region I into the wave function of region V

$$\vec{\alpha}_{out} = \mathcal{R}_{\Theta_1} \mathcal{R}_{\delta} \mathcal{R}_{\Theta_2} \vec{\alpha}_{in}, \quad (43)$$

where $\mathcal{R}_{\Theta_1} \mathcal{R}_{\delta} \mathcal{R}_{\Theta_2}$ is given by

$$\begin{aligned} & \begin{pmatrix} \cosh \Theta_1 & -i \sinh \Theta_1 \\ i \sinh \Theta_1 & \cosh \Theta_1 \end{pmatrix} \begin{pmatrix} e^{i\delta} & 0 \\ 0 & e^{-i\delta} \end{pmatrix} \begin{pmatrix} \cosh \Theta_2 & -i \sinh \Theta_2 \\ i \sinh \Theta_2 & \cosh \Theta_2 \end{pmatrix} \mathcal{R}_N = \prod_{k=1}^N \begin{pmatrix} \cosh \Theta_k & -i \sinh \Theta_k \\ i \sinh \Theta_k & \cosh \Theta_k \end{pmatrix} \begin{pmatrix} e^{i\delta_k} & 0 \\ 0 & e^{-i\delta_k} \end{pmatrix}, \\ & = \cos \delta \begin{pmatrix} \cosh(\Theta_1 + \Theta_2) & -i \sinh(\Theta_1 + \Theta_2) \\ i \sinh(\Theta_1 + \Theta_2) & \cosh(\Theta_1 + \Theta_2) \end{pmatrix} \quad \text{where} \\ & + i \sin \delta \begin{pmatrix} \cosh(\Theta_1 - \Theta_2) & -i \sinh(\Theta_1 - \Theta_2) \\ i \sinh(\Theta_1 - \Theta_2) & \cosh(\Theta_1 - \Theta_2) \end{pmatrix}. \end{aligned} \quad (46)$$

and

$$\delta = \frac{1}{\hbar} \int_{x_1}^{x_2} dx \sqrt{2(E - V(x))}$$

is the interference phase responsible for resonant tunneling, while

$$\Theta_1 = \frac{1}{\hbar} \int_{x_+}^{x_1} dx \sqrt{2(E - V(x))},$$

and

$$\Theta_2 = \frac{1}{\hbar} \int_{x_2}^{x_3} dx \sqrt{2(E - V(x))},$$

are the corresponding (hyperbolic) angles in the classically forbidden regions.

The transmission coefficient for double barrier tunneling is obtained by $T = \alpha_{out}^R / \alpha_{in}^R$, with the condition $\alpha_{out}^L = 0$.

Computation gives the tunneling probability

$$|T|^2 = \frac{1}{\cos^2 \delta \cosh^2(\Theta_1 + \Theta_2) + \sin^2 \delta \cosh^2(\Theta_1 - \Theta_2)}. \quad (44)$$

The term in $\cos \delta$ corresponds to the product of the decay probabilities, it is the non-resonant contribution, dominant in the limit $\delta = 0$, when interference in the intermediate region plays no role. For $\delta = 0$ the two hyperbolic angles Θ_1 and Θ_2 sum together giving the sum of the rotations. The term in $\sin \delta$ is the effect of the quantum interference, and it depends on the difference between Θ_1 and Θ_2 .

The tunneling probability can be closed to one in the resonant condition

$$\delta = (n + 1/2) \pi, \quad \Theta_1 \sim \Theta_2. \quad (45)$$

Notice that $\Theta_1 \sim \Theta_2$ requires a large amount of fine tuning, since in the semiclassical regime that we are considering both Θ_1 and Θ_2 are large numbers in \hbar units.

C. Tunneling through N barriers

Given N barriers separated by $N - 1$ classically allowed regions, the matrix which connects the wave functions on the two regions extending to infinity is given by $\mathcal{R}_N = \prod_{k=1}^N \mathcal{R}_{\Theta_k} \mathcal{R}_{\delta_k}$

$$\Theta_k = \frac{1}{\hbar} \int dx \sqrt{2V(x)}, \quad (47)$$

$$\delta_k = \frac{1}{\hbar} \int dx \sqrt{-2V(x)}. \quad (48)$$

There may be various resonant tunneling conditions, the simplest one corresponds to the existence of a energy bound state common to all the $N - 1$ classical allowed regions. If the incoming particle has this bound state energy the total tunneling probability turns out to be

VI. ACKNOWLEDGMENTS

$$|T|^2 = \frac{1}{\cosh^2 \left(-\sum_{k=1}^N (-)^k \Theta_k \right)}, \quad (49)$$

and resonant tunneling occurs for

$$\sum_{k=1}^N (-)^k \Theta_k = 0. \quad (50)$$

This resonance condition extends to a generic number of barriers N the resonance condition

$$\Theta_1 - \Theta_2 = 0, \quad (51)$$

which has been obtained for the double barrier potential in figure 5.

M.C. would like to thank A. Schwimmer for stimulating discussions. The work of M.C. was supported by Superstring Marie Curie Training Network under the contract MRTN-CT-2004-512194. The work of S.E. was partially supported by the Israel Science Foundation, the Einstein Center in the Hebrew University, and by a grant of DIP (H.52). The work of E.R. was partially supported by the European Union Marie Curie RTN network under contract MRTN-CT-2004-512194, the American-Israel Bi-National Science Foundation, the Israel Science Foundation, The Einstein Center in the Hebrew University, and by a grant of DIP (H.52).

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